

#IDS  
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PATENT  
DOCKET NO. CM033513



UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S): KENNETH D. CORNETT

TITLE: MEMS RESONATORS AND METHOD FOR MANUFACTURING MEMS RESONATORS

3/30/01

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Under 37 C.F.R. §§ 1.56 and 1.97 et seq., the references cited on enclosed Form PTO 1449 are disclosed, which may be material to the patentability of this application.

The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

1. ☐ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, an English language translation of that item or a portion thereof, a concise explanation of the relevance of that item, or an English language search report is enclosed.
2. ☐ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application.
3. ☐ Any copy of the items listed on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in a parent application, U.S. Serial No. \_\_\_\_\_, filed \_\_\_\_\_, of this ☐ Continuation, ☐ Divisional or ☐ Continuation-In-Part application under 37 C.F.R. § 1.62. Applicant(s) hereby request the examiner to consider the references cited in the parent application. A copy of the references cited in the parent application will be provided upon request.
4. ☒ No fee is due under 37 C.F.R. § 1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:
  - a. ☒ 37 C.F.R. § 1.97(b)(1), within three months of the filing date of the above-identified application.
  - b. ☐ 37 C.F.R. § 1.97(b)(2), within three months of the date of entry into the national stage as set forth in § 1.491 in an international application.

- c. ☐ 37 C.F.R. § 1.97(b)(3), before the mailing date of a first Office Action on the merits.
5. ☐ No fee is due under 37 C.F.R. § 1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. § 1.97 (c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. § 1.97(e) set forth in paragraph 9 below.
6. ☐ A fee is due under 37 C.F.R. § 1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. § 1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a notice of allowance (where there has been no prior final action):
7. ☐ Charge the fee to Deposit Account No. 50-0757. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
8. ☐ A fee is due under 37 C.F.R. § 1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. sec. 1.97(d), after the mailing date of a final action or a notice of allowance, whichever comes first, but before payment of the issue fee, and is accompanied by:
- a. ☐ one of the certifications pursuant to 37 C.F.R. § 1.97(e) set forth in the paragraph below; and
- b. ☐ the attached petition requesting consideration of this Information Disclosure Statement; and
- c. ☐ the fee due under 37 C.F.R. § 1.17(i)(1) which is paid as set forth in the paragraph below.
9. ☐ A fee is due under 37 C.F.R. § 1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with:
- a. ☐ 37 C.F.R. § 1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition to Withdraw Application from Issue;
- b. ☐ 37 C.F.R. § 1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition to Withdraw Application from Issue.
- c. ☐ The fee due under 37 C.F.R. § 1.17(i)(1) is paid as set forth in paragraph 12 and 13 below.
10. ☐ I hereby certify that each item of information contained in this information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

11. ☐ I hereby certify that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
12. ☐ Charge the fee due under 37 C.F.R. § 1.17(i)(1) to Deposit Account No. 50-0757. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
13. ☐ The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 50-0757. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

By:



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SEND CORRESPONDENCE TO:

CUSTOMER NUMBER 24273